

# **“BASICS OF CAPITAL MARKET”**

## ***(A Certificate Course to Add Value)***

### **STOCK EXCHANGE PROFILE**

Bhubaneswar Stock Exchange Ltd. (BhSE) has been functioning as a recognized Stock Exchange in India spreading the culture of equity in the State of Orissa for about 20 years. It was initially incorporated on 17<sup>th</sup> April,1989 as a Public Company, limited by guarantee with an object to facilitate, assist, regulate or control the business of buying, selling or dealing in stocks, shares and like securities within the meaning of Securities Contracts (Regulation) Act,1956. Ministry of Finance, Govt. of India granted recognition to the BhSE on 5<sup>th</sup> June,1989 under the provisions of the Securities Contracts (Regulation) Act,1956 for an initial period of five years. Thereafter, the recognition of the BhSE is being renewed from time to time by **Securities and Exchange Board of India (SEBI)**.

Subsequently, pursuant to the amendment to the Securities Contracts (Regulation) Act,1956 during the year 2004 by the Govt. of India in order to provide for corporatisation and demutualization of the stock exchanges in the country, BhSE in compliance with the requirement of corporatisation first in order to get itself a corporatised entity, was converted from a Company limited by guarantee to a Company limited by shares on 9<sup>th</sup> December,2005 by way of fresh incorporation under the Companies Act,1956. Further, during the year 2007 BhSE successfully diluted its share capital to public in compliance with the requirement of demutualization in order to ensure at least 51% of paid up share capital are held by the persons other than the stock-broker shareholders.

### **Management**

The affairs of the BhSE are controlled and supervised by the Board of Directors under the provisions of its Memorandum and Articles of Association, Rules, Regulations and Bye-laws of the Stock Exchange framed in line with the Companies Act,1956, Securities Contracts (Regulation) Act,1956 and SEBI Act,1992. The day to day affairs are managed by the Chief Executive Officer of the Stock Exchange. The Board of Directors of the Stock Exchange comprises of 8 (Eight) Directors such as 2 (Two) Public Interest Directors who are appointed out of SEBI constituted panel, 3 (Three) Shareholder Directors and 2 (Two) Trading Member Directors who are appointed by the shareholder of the BhSE and a Whole Time Chief Executive Officer who is the ex-officio Director of the Board. The present status of the Board of Directors of BhSE is as under:

### **Public Interest Directors**

1. Shri Vivekananda Pattanayak, IAS (Retd.) (Appointed as Chairman of the BhSE)
2. Shri Bibekananda Mohanty, Registrar of Companies, Orissa

### **Shareholder Directors**

3. Shri K.N. Ravindra, Representative of the Shareholder of the BhSE, National Aluminium Company Ltd. (NALCO)
4. Shri Deelip Kumar Choudhury, Shareholder of the BhSE
5. Shri Anil Kumar Patro, Shareholder of the BhSE
6. Shri Tarun Kumar Agrawalla, Shareholder of the BhSE

### **Trading Member Directors**

7. Shri Thomas Mathew, Trading Member of the BhSE
8. Shri Raj Kumar Khemka, Trading Member of the BhSE

### **Ex-officio Director**

9. Shri Debaraj Biswal, Chief Executive Officer of the BhSE

## **COURSE PARTICULARS**

With the increasing demand for financial literacy and education in capital market as the various platforms set up under this stream provide youth a wide opportunity to make career either under employment or as intermediaries/agents and also provide a wide investment opportunity for the individuals having surplus income, BhSE, in addition to its normal course of business, is now working to increase the level of financial literacy by providing education in capital market to graduates, management and engineering students, working executives, retired employees, investors in securities and house wives having academic qualification of bachelor degree and also to the students who are doing their +3 degree course. In this endeavor, the Stock Exchange, at present, offers three months' **Certificate Course, namely, "Basics of Capital Market"**.

This course has been designed with a view to help the graduates/management students to add value to their academic career or those who are willing to make career as intermediaries in securities market or those who are willing to get themselves financially literate in order to self-help in the process of investment in the securities market.

BhSE has already completed the task of imparting education to more than 100 participants in last batches of its Certificate Course, "Basics of Capital Market" successfully. All these three batches were a combination of participants from different age groups and categories such as MBA/MFC/BBA/Engineering students, graduates, + 3 students, in service officers, retired officers, qualified housewives, investors in securities and insurance agents.

## DETAILS FOR NEXT BATCH

<b>Schedule Date of Commencement of Class</b>	<b>Extended to 21<sup>st</sup> January,2012</b>	
<b>Batch Strength</b>	<b>30 (Thirty)</b>	
<b>Course Duration</b>	<b>60 – 70 hours (Covering 3 months)</b>	
<b>Weekly days of Class &amp; Time</b>	<b>Saturday</b>	<b>Timings</b>
	<b>1 (One) Class</b>	(5.45 P.M. – 7.30 P.M.)
	<b>Sunday</b>	<b>Timings</b>
	<b>3 (Three) Classes</b>	(9.30 A.M. – 11.15 P.M., 11.30 A.M. – 1.15 P.M. & 2.15 P.M. – 4 P.M.)
		<b>Lunch Break</b>
		(1.15 P.M. – 2.15 P.M.)

**Course Fee** : **Rs.5,000/-**

**Course Coordinator** : **Bipin Dutta, Asst. Manager**

**Course Outline** :

1. Indian Securities Market – An Overview
2. Regulatory Framework of Securities Market
3. Corporate Governance
4. Primary Market
5. Secondary Market
6. Mutual Fund
7. Derivatives Market
8. Depositories
9. Portfolio Management
10. Risk & Return
11. Valuation of Securities
12. Fundamental Analysis
13. Technical Analysis
14. Financial Statement Analysis
15. Exchange Traded Fund (Gold ETF)
16. Demonstration of Live Stock Trading

## **Course Facilitators:**

Course facilitators will be from the Stock Exchange, Universities, reputed B-Schools and Industry.

## **Who should join?**

MBA/ MFC/ BBA/ Engineering (Degree or Diploma) students, graduates, + 3 students, working executives, retired officers, housewives having bachelor degree and insurance agents may join.

## **Availing of Enrollment Forms:**

Aspirants may download the Enrollment Form furnished below this file for use. Enrollment Form and other information about the course can also be obtained from the Office of the Stock Exchange at P – 2, Jayadev Vihar, Chandrasekharpur, Bhubaneswar – 751023 during any working hours.

## **How to Enroll:**

Interested aspirants may come forward with Enrollment Forms, duly filled in, accompanied with one recent passport size photograph and the copies of certificates of 10<sup>th</sup>, +2 and Degree Examinations for enrollment between **11 A.M. to 5 P.M.** on any working day from **Monday to Friday by 20<sup>th</sup> January, 2012** . They may also send the Enrollment Forms along with the required documents addressed to the Chief Executive Officer, Bhubaneswar Stock Exchange Ltd., P – 2, Jayadev Vihar, Chandrasekharpur, Bhubaneswar – 751023.

# **BHUBANESWAR STOCK EXCHANGE LTD.**

**P-2, Jayadev Vihar, Chandrasekharpur, Bhubaneswar - 751023**

## **Basics of Capital Market**

*(A Certificate Course to Add Value)*

### **ENROLLMENT FORM**

**Affix One  
Passport -  
size  
Photograph**

1. Name (In Block letters) \_\_\_\_\_
2. Father/Husband' Name \_\_\_\_\_
3. Permanent Address \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
4. Address for Correspondence \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
5. Date of Birth \_\_\_\_\_
6. Religion \_\_\_\_\_ Nationality \_\_\_\_\_ Sex \_\_\_\_\_
7. Contact No. \_\_\_\_\_ e-mail (if any) \_\_\_\_\_

8. Details of Educational Qualifications

Qualification	Stream	School/College	Year of Passing	% of Marks
10 <sup>th</sup>				
+2				
+3				
Higher Qualification, if any				

*DECLARATION*

I hereby declare that the above facts and information furnished by me are true to the best of my knowledge and belief. I do undertake that I will abide by the rules and regulations governing the certificate course during my continuance of study in Bhubaneswar Stock Exchange Ltd.

Place :

Date :

(Signature of the Applicant)